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Section 11

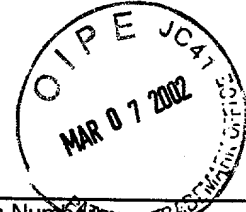
<b>TRANSMITTAL FORM</b>  (for all correspondence after initial filing)	Attorney Docket No. <b>WIL-102</b>	Total Pages
	Application Number <b>09/910,471</b>	
	Filing Date <b>7/20/2001</b>	
	First Named Inventor <b>JEFFREY K. WILKINS</b>	
	Group Art Unit <b>2161</b>	
	Examiner <b>NOT ASSIGNED</b>	

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)	<input checked="" type="checkbox"/> Response to Notice of Missing Parts
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Small Entity Statement
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Declaration by Inventors
<input type="checkbox"/> Response/Amendment	<input checked="" type="checkbox"/> Assignment papers
<input type="checkbox"/> After Final Rejection	<input checked="" type="checkbox"/> Power of Attorney by Assignee
<input type="checkbox"/> After Allowance communication to Group	<input type="checkbox"/> IDS/PTO-1449
<input type="checkbox"/> with Corrected Drawing(s) Total Sheets: [ ]	<input type="checkbox"/> with copies of cited references
<input type="checkbox"/> with Affidavits/Declarations	<input type="checkbox"/> New Power of Attorney and Revocation of Old
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Other:

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SIGNATURE OF AGENT	
NAME	<b>MAREK ALBOSZTA, REG. NO. 39,894</b>
Signature	
Date	<b>2 Nov. 2001</b>

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I hereby certify that this correspondence is being deposited on the date indicated below as first class mail with the U.S. Postal Service addressed to the ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.	
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NAME OF PERSON SIGNING	



FEE TRANSMITTAL

Application Number:	09/910,471
Filing Date:	7/20/2001
First Named Inventor:	Jeffrey K. Wilkins
Title of Invention:	Method and Apparatus for Compiling Business Data
Group Art Unit:	2161
Examiner:	Not assigned
Attorney Docket No.:	WIL-102

Fee Calculation:

for ☐ Large Entity  
☒ Applicant claims small entity status  
See 37 CFR 1.27

Basic Filing Fee:

☒ Utility Patent Application: \$740 / \$370  
☐ Provisional Patent Application: \$160 / \$80

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\$ 370  
\$

Claims:

☒ Number of Total Claims Over 20: [64] x \$18 / \$9 = \$ 576  
☐ No. of Independent Claims Over 3: [ ] x \$84 / \$42 = \$

Other Fees:

<input type="checkbox"/> Extension of time, 1 month	\$110 / \$55	\$
<input type="checkbox"/> Extension of time, 2 months	\$400 / \$200	\$
<input type="checkbox"/> Extension of time, 3 months	\$920 / \$460	\$
<input type="checkbox"/> Extension of time, 4 months	\$1440 / \$720	\$
<input checked="" type="checkbox"/> Missing Parts Surcharge (Regular Application)	\$130 / \$65	\$ 65
<input type="checkbox"/> Missing Parts Surcharge (Provisional Application)	\$50 / \$25	\$
<input checked="" type="checkbox"/> Recordation of Assignment Document	\$40	\$ 40
<input type="checkbox"/> Issue Fee	\$1280 / \$640	\$
<input type="checkbox"/> Printed Patent; Number of Copies: [ ]	x \$3 =	\$

TOTAL PAYMENT: \$ 1051

Method of Payment:

☒ Payment Enclosed  
☒ Check

Signature of Applicant, Attorney, or Agent

Marek Alboszta, Reg. No. 39,894

2 Nov. 2001  
Date

09/910,471



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Section 8

PTO/SF/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/910,471
Filing Date	07/20/2001
First Named Inventor	Jeffrey K. Wikins
Group Art Unit	2161
Examiner Name	
Attorney Docket Number	WIL-102

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Formal specification and cover letter
<div>Remarks</div>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Ron Jacobs, Reg. No. 50,142
Signature	
Date	2/1/02

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 2/1/02

Typed or printed name	MARCIA GIDEON		
Signature		Date	2/1/02

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Attorney Docket: WIL-102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number: 09/910,471  
Filing Date: 07/20/2001  
Applicants: Jeffrey K. Wilkins et al.  
Application Title: Method and Apparatus for Compiling Business Data  
Art Unit: 2161

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DATE OF MAILING: 2/1/02

MARCIA GIDEON  
NAME OF PERSON SIGNING

SUBMISSION OF FORMAL SPECIFICATION

Initial Patent Examination Division  
Commissioner of Patents and Trademarks  
Washington, DC 20231

Dear Sir:

A formal specification required by the PTO is submitted herewith. The claims of the formal specification commences on a separate sheet. The contents of the formal specification is identical to the specification as filed without new matters.

Very respectfully,

Ron Jacobs  
(Reg. No. 50,142)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/910,471	07/20/2001	Jeffery K. Wilkins	WIL-102

CONFIRMATION NO. 1749

FORMALITIES LETTER



\*OC000000006506519\*

ANTHONY G. DERVAN  
LUMEN INTELLECTUAL PROPERTY SERVICES  
SUITE 110  
45 CABOT AVENUE  
SANTA CLARA, CA 95051

Date Mailed: 09/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/12/2002 SZEWDIE1 00000037 09910471

01 FC:201 370.00 DP  
02 FC:203 576.00 DP  
03 FC:205 65.00 DP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1152.  
    ■ **\$1152** for **64** total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is **\$ 1992**.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).

*A copy of this notice MUST be returned with the reply.*

*Chunh*

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